

This form needs to be filled out by all persons owning 20% or more of the operating company and borrowing entity.

Business Name:			
Principal Name	Social Security Number or Tax ID if an Entity	Date of Birth	Place of Birth (City & State or Foreign Country)
Home Address		Home Phone	% of Ownership in the Small Business Applicant

Veteran/Gender/Race/Ethnicity data is collected for program reporting purposes only. Disclosure is voluntary and has no bearing on the credit decision.

		Enter Response Below
Veteran	1=Non-Veteran; 2=Veteran; 3=Service-Disabled Veteran; 4=Spouse of Veteran; X=Not Disclosed	
Gender	M=Male; F=Female; X=Not Disclosed	
Race (more than 1 may be selected)	1=American Indian or Alaska Native; 2=Asian; 3=Black or African-American; 4=Native Hawaiian or Pacific Islander; 5=White; X=Not Disclosed	
Ethnicity	H=Hispanic or Latino; N=Not Hispanic or Latino; X=Not Disclosed	

Unless stated otherwise, if any of the questions below are answered "Yes," please provide details on a separate sheet.

#	Question	Yes	No
17	Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction? (If "Yes," the loan request is not eligible for SBA assistance.)	<input type="checkbox"/>	<input type="checkbox"/>
Initial here to confirm your response to question 17 →			
18	Have you been arrested in the last 6 months for any criminal offense?	<input type="checkbox"/>	<input type="checkbox"/>
Initial here to confirm your response to question 18 →			
19	For any criminal offense – other than a minor vehicle violation – have you ever: 1) been convicted; 2) pleaded guilty; 3) pleaded nolo contendere; 4) been placed on pretrial diversion; or 5) been placed on any form of parole or probation (including probation before judgment)?	<input type="checkbox"/>	<input type="checkbox"/>
Initial here to confirm your response to question 19 →			

If you answer "Yes" to questions 18 or 19, you must complete SBA Form 912, "Statement of Personal History." You will need to furnish details, including dates, location, fines, sentences, level of charge (whether misdemeanor or felony), dates of parole/probation, unpaid fines or penalties, name(s) under which charged, and any other pertinent information. If you answer "Yes" to question 19 and are currently on parole or probation, the loan request is not eligible for SBA assistance.

20	Are you presently suspended, debarred, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency?	<input type="checkbox"/>	<input type="checkbox"/>
21	If you are a 50% or more owner of the Small Business Applicant, are you more than 60 days delinquent on any obligation to pay child support arising under an administrative order, court order, repayment agreement between the holder and a custodial parent, or repayment agreement between the holder and a state agency providing child support enforcement services.	<input type="checkbox"/>	<input type="checkbox"/>
22	<input type="checkbox"/> I am a U.S. Citizen <u>OR</u> <input type="checkbox"/> I have Lawful Permanent Resident status Registration Number: _____ <input type="checkbox"/> I am not a U.S. Citizen or Lawful Permanent Resident Country of Citizenship: _____		
Initial here to confirm your responses to question 22 →			

23	Do you have any ownership in other businesses which would be defined as an Affiliate in the definition found on page 1? (If "Yes," attach a listing of all businesses and your ownership percentage or position in the business.)	<input type="checkbox"/>	<input type="checkbox"/>
24	Have you, or any business you controlled, ever filed for bankruptcy protection?	<input type="checkbox"/>	<input type="checkbox"/>
25	Are you, or any business you control, presently involved in any legal action (including divorce)?	<input type="checkbox"/>	<input type="checkbox"/>
26	Have you or any business owned or controlled by you ever obtained a direct or guaranteed loan from SBA or any other Federal agency or been a guarantor on such a loan? (This includes student loans.)	<input type="checkbox"/>	<input type="checkbox"/>
	(a) If you answered "Yes" to Question 26, is any of the financing currently delinquent?	<input type="checkbox"/>	<input type="checkbox"/>
	(b) If you answered "Yes" to Question 26, did any of this financing ever default and cause a loss to the Government?	<input type="checkbox"/>	<input type="checkbox"/>
<i>(If Yes to (a) or (b) above, please provide Lender with a written explanation.)</i>			

PERSONAL FINANCIAL STATEMENT

Date:

The personal financial statement needs to be filled out by all persons owning 20% or more of the operating company and borrowing entity.

Name

Business Name

Home Address

Phone Number

City, State, Zip

Assets

Liabilities

Cash on Hand

Accounts Payable

Savings Acct

Notes Payable

IRA/Retirement

Installment Account (Auto)

Accounts Receivable

(Auto) Monthly Payment

Life Insurance

Installment Account (Other)

Stocks & Bonds

(Other) Monthly Payment

Real Estate

Loans Against Life Insurance

Automobiles

Mortgages on Real Estate

Personal Property

Unpaid Taxes

Other Assets

Other Liabilities

Total

Total Liabilities

Net Worth

Total

Sources of Income

Contingent Liabilities

Salary

As Endorser or Co-Maker

Net Investment Income

Legal Claims & Judgments

Real Estate Income

Provision for Fed. Income Tax

Other Income

Other Special Debt

Notes Payable to Banks & Others

Name of Note Holders	Original Balance	Current Balance	Payment Amount	Current or Delinquent	Maturity Date

Unpaid Taxes (Describe in detail as to type, to whom payable, when due, amount, and what property, if any, a tax lien attaches.)

I authorize the South Central Kansas Economic Development District (SCKEDD) to make inquiries as necessary to verify the accuracy of the statements made and to determine my creditworthiness.

CERTIFICATION: (to be completed by each person submitting the information requested on this form and the spouse of any 20% or more owner when spousal assets are included)

By signing this form, I certify under penalty of criminal prosecution that all information on this form and any additional supporting information submitted with this form is true and complete to the best of my knowledge. I understand that SCKEDD or its participating Lenders or Certified Development Companies will rely on this information when making decisions regarding an application for a loan. I further certify that I have read the attached statements required by law and executive order.

Signature

Date

Print Name

Social Security No.

Signature

Date

Print Name

Social Security No.